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settlement for both the equities and fixed income markets (ASX Settlement Corporation). Fri, 07 Dec 2018 12:47:00 GMT Home - Australian Securities Exchange - ASX - 2 "control block" " shares conferring twenty-five percent or more of the voting rights at the general meeting; "certificate of incorporation" " a certificate signed by the Registrar Sat, 08 Dec 2018 04:25:00 GMT Companies Law 5759-1999 - Jewish Virtual Library - 3 Summary The legislation known as the Volcker Rule was enacted as part of the Dodd-Frank Wall Street Reform and Consumer Protection Act and codified in Section 13 of the Fri, 07 Dec 2018 20:04:00 GMT A User's Guide to The Volcker Rule - Home | IFLR.com - The SEC's focus on Main Street investors reflects the fact that American households own \$26 trillion worth of securities "more than 60 percent of the U.S. equities markets" either directly or indirectly through mutual funds, retirement accounts and other investments. Tue, 04 Dec 2018 21:40:00 GMT SEC.gov | HOME - 1 CITIGROUP GLOBAL MARKETS INC. AND SUBSIDIARIES (An indirect wholly owned subsidiary of Citigroup Global Markets Holdings Inc.) Consolidated Statement of Financial Condition Wed, 05 Dec

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increasingly relied on  
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many of the cases the SEC  
has brought in the wake of  
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have been charged solely  
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